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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

102126-31 (15)

First Inventor

August van der BEEK

Title

See Application Data Sheet

Express Mail Label

EV 328767095 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 11]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]

5. Oath or Declaration [Total Pages 3]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/959,240

Prior application information: Examiner Essama Omgba Group / Art Unit: 3726

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



Customer Number:

27388

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Christa Hildebrand

Registration No. (Attorney/Agent)

34,953

Signature

Christa Hildebrand

Date

September 9, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) **\$750.00**

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | to be assigned      |
| Filing Date          | currently herewith  |
| First Named Inventor | August van der BEEK |
| Examiner Name        | to be assigned      |
| Group Art Unit       | to be assigned      |
| Attorney Docket No.  | 102126-31 (15)      |

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

14-1263

Deposit  
Account  
Name

Norris, McLaughlin & Marcus

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity        |          | Small Entity |          | Fee Description        | Fee Paid                    |
|---------------------|----------|--------------|----------|------------------------|-----------------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |                             |
| 1001                | 750      | 2001         | 375      | Utility filing fee     | 750.00                      |
| 1002                | 330      | 2002         | 165      | Design filing          |                             |
| 1003                | 520      | 2003         | 260      | Plant filing fee       |                             |
| 1004                | 750      | 2004         | 375      | Reissue filing         |                             |
| 1005                | 160      | 2005         | 80       | Provisional filing fee |                             |
| <b>SUBTOTAL (1)</b> |          |              |          |                        | <b>(\$)</b> <b>\$750.00</b> |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND

| Extra Claims       |             | Fee from below |       | Fee Paid |
|--------------------|-------------|----------------|-------|----------|
| Total Claims       |             |                |       |          |
| 8                  | -20** = 0   | X              | 18.00 | 0.00     |
| Independent Claims | 2 - 3** = 0 | X              | 84.00 | 0.00     |
| Multiple Dependent |             |                |       |          |

| Large Entity        |          | Small Entity |          | Fee Description  | Fee Paid                  |
|---------------------|----------|--------------|----------|--|---------------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |  |                           |
| 1202                | 18       | 2202         | 9        | Claims in excess of 20                                     |                           |
| 1201                | 84       | 2201         | 42       | Independent claims in excess of 3                          |                           |
| 1203                | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |                           |
| 1204                | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |                           |
| 1205                | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |                           |
| <b>SUBTOTAL (2)</b> |          |              |          |  | <b>(\$)</b> <b>\$0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non - English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253         | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254         | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255         | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401         | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403         | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501         | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502         | 470      | 2502         | 235      | Design issue fee   |          |
| 1503         | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR § 1.17(q)                                      |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Statement                             |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810         | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801         | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) \_\_\_\_\_

### SUBMITTED BY

|                   |                           |                                      |                   |           |              |
|-------------------|---------------------------|--------------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Christa Hildebrand        | Registration No.<br>(Attorney/Agent) | 34,953            | Telephone | 212-808-0700 |
| Signature         | <i>Christa Hildebrand</i> | Date                                 | September 9, 2003 |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

APPLICANT(S): August van der BEEK, Michael SCHAAF, Walter FISCHER,  
Harald PECHTEL

TITLE: **Method for briquetting metal chips and briquetting press**

PARENT SERIAL NO.: 09/959,240

FILED: currently herewith

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV 328767095 US

Date of Deposit: 09/09/2003

I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Fee Transmittal – in duplicate
3. Application Data Sheet
4. Specification (11 pages)
5. Transmittal of Formal Drawings
6. Formal Drawings (2 sheets, figs. 1-2)
7. Information Disclosure Statement
8. copies of the International Search Report, PTO Forms 892 and 1449
9. copy of Combined Declaration and Power of Attorney from previous application no. 09/959,240 filed 2/20/02
10. Return Receipt Postcard

are being deposited with the United States Postal Services Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By Jennifer Connington  
Jennifer Connington

**PATENTS**

**CERTIFICATE OF EXPRESS MAILING**

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Jennifer Connington      09/09/2003  
Jennifer Connington      Date  
Express Mail Label EV 328767095 US

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CREDIT ANY EXCESS IN THE  
FEES DUE WITH THIS DOCUMENT  
TO OUR DEPOSIT ACCOUNT No. 14-  
1263

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Parent Appl. No. : 09/959,240      Confirmation No.: to be assigned  
Applicant : August van der Beek et al.  
Filed : currently herewith  
TC/A.U. : to be assigned  
Examiner : to be assigned  
  
Docket No. : 102126-31 (15)  
Customer No. : 27388

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Submitted herewith for entry and approval are two (2) sheets of formal drawings (Figs. 1-2) in the above-referenced application. Each sheet indicates the identifying indicia suggested in 37 CFR § 1.84(c) on the reverse side of the drawing.

Respectfully submitted,  
NORRIS, McLAUGHLIN & MARCUS, P.A.

By Christa Hildebrand  
Christa Hildebrand  
Attorney for Applicant(s)  
Reg. No. 34,953

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